



# Nitrate-driven urban haze pollution during summertime over the North China Plain

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**Abstract.** Compared to the severe winter haze episodes in the North China Plain (NCP), haze pollution during summertime has drawn little public attention. In this study, we present the highly time-resolved chemical composition of submicron particles (PM<sub>1</sub>) measured in Beijing and Xinxiang in the NCP region during summertime to evaluate the driving factors of aerosol pollution. During the campaign periods (30 June to 27 July 2015, for Beijing and 8 to 25 June 2017, for Xinxiang), the average PM<sub>1</sub> concentrations were 35.0 and 64.2 μg m<sup>-3</sup> in Beijing and Xinxiang. Pollution episodes characterized with largely enhanced nitrate concentrations were observed at both sites. In contrast to the slightly decreased mass fractions of sulfate, semivolatile oxygenated organic aerosol (SV-OOA), and low-volatility oxygenated organic aerosol (LV-OOA) in PM<sub>1</sub>, nitrate displayed a significantly enhanced contribution with the aggravation of aerosol pollution, highlighting the importance of nitrate formation as the driving force of haze evolution in summer. Rapid nitrate production mainly occurred after midnight, with a higher formation rate than that of sulfate, SV-OOA, or LV-OOA. Based on observation measurements and thermodynamic modeling, high ammonia emissions in the NCP region favored the high nitrate production in summer. Nighttime nitrate formation through heterogeneous hydrolysis of dinitrogen pentoxide (N<sub>2</sub>O<sub>5</sub>) enhanced with the devel-

opment of haze pollution. In addition, air masses from surrounding polluted areas during haze episodes led to more nitrate production. Finally, atmospheric particulate nitrate data acquired by mass spectrometric techniques from various field campaigns in Asia, Europe, and North America uncovered a higher concentration and higher fraction of nitrate present in China. Although measurements in Beijing during different years demonstrate a decline in the nitrate concentration in recent years, the nitrate contribution in PM<sub>1</sub> still remains high. To effectively alleviate particulate matter pollution in summer, our results suggest an urgent need to initiate ammonia emission control measures and further reduce nitrogen oxide emissions over the NCP region.

## 1 Introduction

Atmospheric aerosol particles are known to significantly impact visibility (Watson, 2002) and human health (Pope et al., 2009; Cohen et al., 2017), as well as affect climate change by directly and indirectly altering the radiative balance of the Earth's atmosphere (IPCC, 2007). The effects of aerosols are intrinsically linked to the chemical composition of particles, which are usually dominated by organics and sec-

ondary inorganic aerosols (i.e., sulfate, nitrate, and ammonium) (Jimenez et al., 2009).

In recent years, severe haze pollution has repeatedly struck the North China Plain (NCP), and its effects on human health have drawn increasing public attention. Correspondingly, the chemical composition, sources, and evolution processes of particulate matter (PM) have been thoroughly investigated (Huang et al., 2014; Guo et al., 2014; Cheng et al., 2016; Y. J. Li et al., 2017), mostly during extreme pollution episodes in winter. Unfavorable meteorological conditions, intense primary emissions from coal combustion and biomass burning, and fast production of sulfate through heterogeneous reactions were found to be the driving factors of heavy PM accumulation in the NCP region (Zheng et al., 2015; H. Li et al., 2017; Zou et al., 2017). Although summer is characterized by relatively better air quality compared to the serious haze pollution in winter, fine particle (PM<sub>2.5</sub>) concentration in the NCP region still remains high during summertime. Through 1-year real-time measurements of nonrefractory submicron particles (NR-PM<sub>1</sub>), Sun et al. (2015) showed that the aerosol pollution during summer was comparable to that during other seasons in Beijing, and the hourly maximum concentration of NR-PM<sub>1</sub> during the summer reached over 300  $\mu\text{g m}^{-3}$ . Previous studies focusing on the seasonal variations of aerosol characteristics have noted quite different behavior in aerosol species in winter and summer (Hu et al., 2017). Therefore, figuring out the specific driving factors of haze evolution in summer would help to establish effective air pollution control measures.

According to top-down estimates using satellite observations, sulfur dioxide (SO<sub>2</sub>) in China have been reduced by more than 70 % since 2007 (C. Li et al., 2017). However, nitrogen oxide (NO<sub>x</sub>) emissions in China remain high and decreased by 21 % from 2011 to 2015 (F. Liu et al., 2017). Therefore, the role of nitrate formation in aerosol pollution is predicted to generally increase as a consequence of high ammonia (NH<sub>3</sub>) emissions in the NCP region. However, due to the significantly enhanced production of sulfate in extreme winter haze resulting from high relative humidity (RH) and large SO<sub>2</sub> emissions from coal combustion, little attention has been paid to nitrate behavior. In PM<sub>2.5</sub>, aerosol nitrate mostly exists in the form of ammonium nitrate via the neutralization of nitric acid (HNO<sub>3</sub>) with NH<sub>3</sub>. HNO<sub>3</sub> is overwhelmingly produced through secondary oxidation processes, NO<sub>2</sub> is oxidized by OH during the day and hydrolysis of N<sub>2</sub>O<sub>5</sub> at night, with the former being the dominant pathway (Alexander et al., 2009). The neutralization of HNO<sub>3</sub> is limited by the availability of NH<sub>3</sub>, as NH<sub>3</sub> prefers to react first with sulfuric acid (H<sub>2</sub>SO<sub>4</sub>) to form ammonium sulfate with lower volatility (Seinfeld and Pandis, 2006). Because ammonium nitrate is semivolatile, its formation also depends on the gas-to-particle equilibrium, which is closely related to variations in temperature and RH. A recent review on PM chemical characterization summarized that aerosol nitrate accounts for 16–35 % of submicron particles (PM<sub>1</sub>)

in China (Y. J. Li et al., 2017). Some studies also pointed out the importance of aerosol nitrate in haze formation in the NCP region (Sun et al., 2012; Ge et al., 2017; Yang et al., 2017). However, detailed investigations and the possible mechanisms governing nitrate behavior during pollution evolution are still very limited.

In this study, we present in-depth analysis of the chemical characteristics of PM<sub>1</sub> at urban sites in Beijing and Xinxiang, China during summertime. Based on the varying aerosol composition with the increase in PM<sub>1</sub> concentration, the driving factors of haze development were evaluated, and the significance of nitrate contribution was uncovered. In particular, we investigated the chemical behavior of nitrate in detail and revealed the factors favoring rapid nitrate formation during summer in the NCP region.

## 2 Experiments

### 2.1 Sampling site and instrumentation

The data presented in this study were collected in Beijing from 30 June to 27 July 2015 and in Xinxiang from 8 to 25 June 2017. Beijing is the capital city of China and is adjacent to Tianjin municipality and Hebei province, both of which produce large amounts of air pollutants. The Beijing–Tianjin–Hebei region is regularly listed as one of the most polluted areas in China by the China National Environmental Monitoring Center. The field measurements in Beijing were performed on the roof of a three-story building on the campus of Tsinghua University (40.0° N, 116.3° E). The sampling site is surrounded by school and residential areas, and no major industrial sources are located nearby. Xinxiang is a prefecture-level city in northern Henan, characterized by considerable industrial manufacturing. In February 2017, the Chinese Ministry of Environmental Protection issued the “Beijing–Tianjin–Hebei and the surrounding areas air pollution prevention and control work programme 2017” to combat air pollution in northern China. The action plan covers the municipalities of Beijing and Tianjin and 26 cities in the provinces of Hebei, Shanxi, Shandong and Henan, referred to as “2 + 26” cities. The 26 cities were identified according to their impacts on Beijing’s air quality through regional air pollution transport. Xinxiang is listed as one of the 2 + 26 cities. The average PM<sub>2.5</sub> concentrations in Xinxiang in 2015 and 2016 were 94 and 84  $\mu\text{g m}^{-3}$ . Our sampling in Xinxiang was performed in the mobile laboratory of Nanjing University, deployed in the urban district near an air quality monitoring site (35.3° N, 113.9° E). The observations in both Beijing and Xinxiang would help to figure out the general and province-specific situations of air pollution in the NCP region.

An Aerodyne Aerosol Chemical Speciation Monitor (ACSM) was deployed for the chemical characterization of NR-PM<sub>1</sub>, with a time resolution of 15 min. Briefly, ambient aerosols were sampled into the ACSM system at a flow rate

of  $3 \text{ L min}^{-1}$  through a  $\text{PM}_{2.5}$  cyclone to remove coarse particles and then through a silica gel diffusion dryer to keep particles dry ( $\text{RH} < 30\%$ ). After passing through a  $100 \mu\text{m}$  critical orifice mounted at the entrance of an aerodynamic lens, aerosol particles with a vacuum aerodynamic diameter of  $\sim 30\text{--}1000 \text{ nm}$  were directly transmitted into the detection chamber, where nonrefractory particles were flash vaporized at the oven temperature ( $\sim 600^\circ\text{C}$ ) and chemically characterized by  $70 \text{ eV}$  electron impact quadrupole mass spectrometry. Detailed descriptions of the ACSM technique can be found in Ng et al. (2011). The mass concentration of refractory BC in  $\text{PM}_1$  was recorded with a Multi-Angle Absorption Photometer (MAAP model 5012, Thermo Electron Corporation) on a 10 min resolution basis (Petzold and Schönlinner, 2004; Petzold et al., 2005). The MAAP was equipped with a  $\text{PM}_1$  cyclone, and a drying system was incorporated in front of the sampling line. A suite of commercial gas analyzers (Thermo Scientific) were also deployed to monitor variations in the gaseous species (i.e.,  $\text{CO}$ ,  $\text{O}_3$ ,  $\text{NO}$ ,  $\text{NO}_x$ , and  $\text{SO}_2$ ).

For observations in Beijing, the total  $\text{PM}_1$  mass was simultaneously measured using a PM-714 monitor (Kimoto Electric Co., Ltd., Japan) based on the  $\beta$ -ray absorption method (Li et al., 2016). Meteorological conditions, including temperature, RH, wind speed, and wind direction, were reported by an automatic meteorological observation instrument (Miloš520, VAISALA Inc., Finland). For measurements in Xinxiang, the online  $\text{PM}_{2.5}$  mass concentration was measured using a heated tapered elemental oscillating microbalance (TEOM series 1400a, Thermo Scientific). The temperature and RH were obtained using a Kestrel 4500 Pocket Weather Tracker.

## 2.2 ACSM data analysis

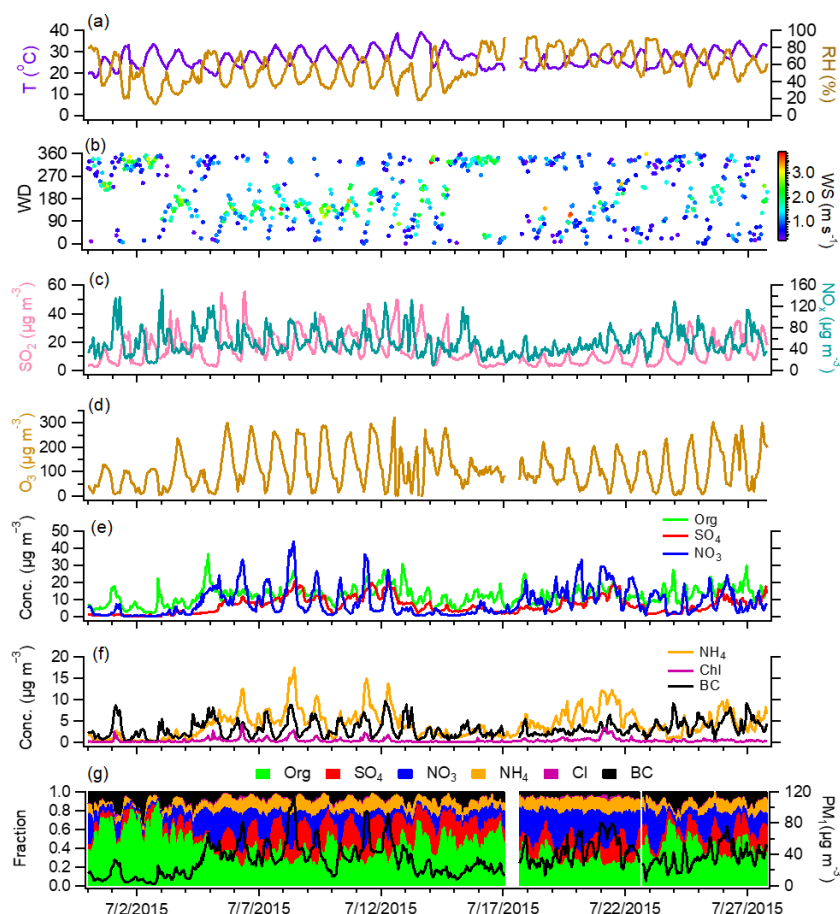
The mass concentrations of aerosol species, including organics, sulfate, nitrate, ammonium, and chloride, can be determined from the ion signals detected by the quadrupole mass spectrometer (Ng et al., 2011) using the standard ACSM data analysis software (v.1.5.3.0) within Igor Pro (WaveMetrics, Inc., Oregon USA). Default relative ionization efficiency (RIE) values were assumed for organics (1.4), nitrate (1.1), and chloride (1.3). The RIEs of ammonium and sulfate were determined to be 7.16 and 1.08 through calibration with pure ammonium nitrate and ammonium sulfate. To account for the incomplete detection of aerosol particles (Ng et al., 2011), a constant collection efficiency (CE) of 0.5 was applied to the entire data set. After all the corrections, the mass concentration of ACSM NR- $\text{PM}_1$  plus BC was closely correlated with that of the total  $\text{PM}_1$  obtained by PM-714 in Beijing ( $r^2 = 0.59$ ; Fig. S1 in the Supplement). The slope was slightly higher than 1, which was probably caused by different measuring methods from the different instruments and the uncertainties. For measurements in Xinxiang, the mass concentration of ACSM NR- $\text{PM}_1$  plus BC also

displayed a good correlation with  $\text{PM}_{2.5}$  concentration measured by TEOM, with a slope of 0.83 ( $r^2 = 0.85$ ; Fig. S1).

Positive matrix factorization (PMF) with the PMF2.exe algorithm (Paatero and Tapper, 1994) was performed on ACSM organics mass spectra to explore various sources of organic aerosol (OA). Only  $m/z$  up to 120 were considered due to the higher uncertainties of larger  $m/z$  and the interference of the naphthalene internal standard at  $m/z$  127–129. In general, signals with  $m/z > 120$  only account for a minor fraction of the total signals. Therefore, this kind of treatment has little effect on the OA source apportionment. PMF analysis was performed with an Igor Pro-based PMF Evaluation Tool (Ulbrich et al., 2009), and the results were evaluated following the procedures detailed in Ulbrich et al. (2009) and Zhang et al. (2011). According to the interpretation of the mass spectra, the temporal and diurnal variations of each factor, and the correlation of OA factors with external tracer compounds, a 4-factor solution with  $\text{FPEAK} = 0$  and a 3-factor solution with  $\text{FPEAK} = 0$  were chosen as the optimum solutions in Beijing and Xinxiang, respectively. The total OA in Beijing was resolved into a hydrocarbon-like OA (HOA) factor, a cooking OA (COA) factor, a semivolatile oxygenated OA (SV-OOA) factor, and a low-volatility oxygenated OA (LV-OOA) factor. The former two represented primary sources, and the latter two came from secondary formation processes. In Xinxiang, the identified OA factors included HOA, SV-OOA, and LV-OOA. Procedures for OA source apportionment are detailed in the Supplement (Text S1; Tables S1–S2; Figs. S2–S7).

## 2.3 ISORROPIA-II equilibrium calculation

To investigate factors influencing the particulate nitrate formation, the ISORROPIA-II thermodynamic model was used to determine the equilibrium composition of the  $\text{NH}_4^+ \text{--} \text{SO}_4^{2-} \text{--} \text{NO}_3^- \text{--} \text{Cl}^- \text{--} \text{Na}^+ \text{--} \text{Ca}^{2+} \text{--} \text{K}^+ \text{--} \text{Mg}^{2+} \text{--} \text{H}_2\text{O}$  inorganic aerosol (Fountoukis and Nenes, 2007). When applying ISORROPIA-II, we assumed that the aerosol was internally mixed and composed of a single aqueous phase, and the bulk  $\text{PM}_1$  or  $\text{PM}_{2.5}$  properties had no compositional dependence on particle size. The validity of the model performance for predicting particle pH, water, and semivolatile species has been examined by a number of studies in various locations (Guo et al., 2015, 2016, 2017a; Hennigan et al., 2015; Bougiatioti et al., 2016; Weber et al., 2016; M. Liu et al., 2017). In this study, the sensitivity analysis of  $\text{PM}_1$  nitrate formation to gas-phase  $\text{NH}_3$  and  $\text{PM}_1$  sulfate concentrations was performed using the ISORROPIA-II model, running in the forward mode for a metastable aerosol state. Input to ISORROPIA-II includes the average RH,  $T$ , and total  $\text{NO}_3^-$  ( $\text{HNO}_3 + \text{NO}_3^-$ ) for typical summer conditions ( $\text{RH} = 56\%$ ,  $T = 300.21 \text{ K}$ ) in Beijing and Xinxiang, along with a selected sulfate concentration. Total  $\text{NH}_4^+$  ( $\text{NH}_3 + \text{NH}_4^+$ ) was left as the free variable. The variations in the nitrate partitioning ratio ( $\varepsilon(\text{NO}_3^-) = \text{NO}_3^- / (\text{HNO}_3 + \text{NO}_3^-)$ ) were examined



**Figure 1.** Time series of meteorological parameters, gaseous species, and submicron aerosol species in Beijing.

with sulfate concentrations varying from 0.1 to  $45 \mu\text{g m}^{-3}$  and equilibrated  $\text{NH}_3$  between 0.1 and  $50 \mu\text{g m}^{-3}$ .

## 2.4 Air mass trajectory analysis

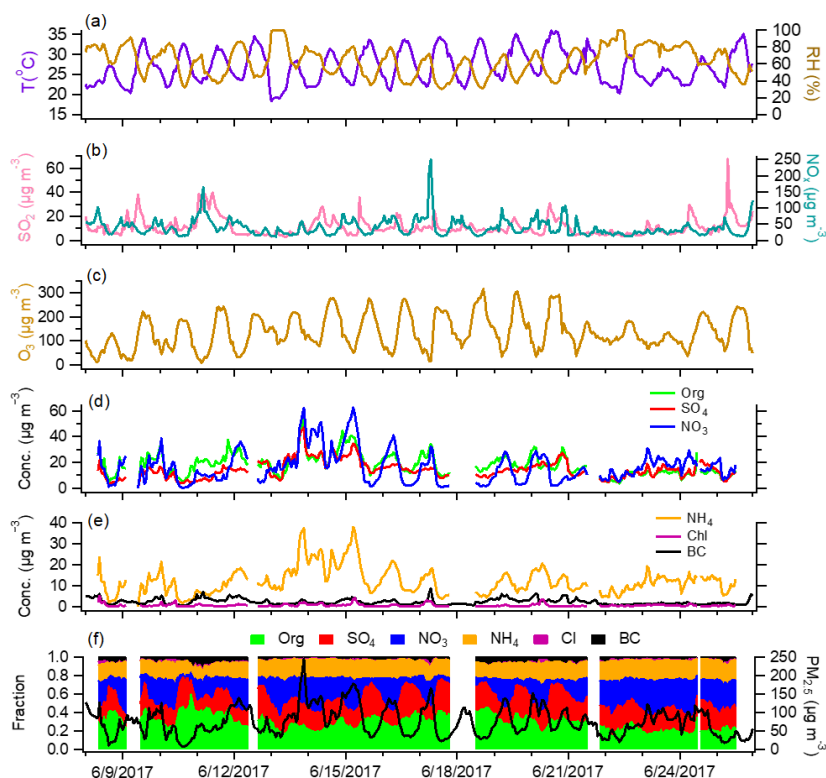
Back-trajectory analysis using the HYbrid Single-Particle Lagrangian Integrated Trajectory (HYSPLIT) model (Draxler and Hess, 1998) was conducted to explore the influence of regional transport on aerosol characteristics in Beijing. The meteorological input was adopted from the NOAA Air Resource Laboratory Archived Global Data Assimilation System (GDAS) (<ftp://arlftp.arlhq.noaa.gov/pub/archives/>). The back trajectories initialized at 100 m above ground level were calculated every hour throughout the campaign and then clustered into several groups according to their similarity in spatial distribution. In this study, a four-cluster solution was adopted, as shown in Fig. S8.

## 3 Results and discussion

### 3.1 Overview of aerosol characteristics

Summer is usually the least polluted season of the year in the NCP region due to favorable weather conditions and lower emissions from anthropogenic sources (Hu et al., 2017). Figures 1 and 2 show the time series of meteorological parameters, gaseous species concentrations, and aerosol species concentrations in Beijing and Xinxiang. The weather during the two campaigns was relatively hot (average  $T = 27.1 \pm 4.1^\circ\text{C}$  for Beijing and  $26.9 \pm 4.0^\circ\text{C}$  for Xinxiang) and humid (average  $\text{RH} = 55.9 \pm 18.5\%$  for Beijing and  $63.5 \pm 17.2\%$  for Xinxiang), with regular variations between day and night. The average  $\text{PM}_{10}$  (=  $\text{NR-PM}_{10}$  + BC) concentration was  $35.0 \mu\text{g m}^{-3}$  in Beijing and  $64.2 \mu\text{g m}^{-3}$  in Xinxiang, with the hourly maximums reaching  $114.9 \mu\text{g m}^{-3}$  and  $208.1 \mu\text{g m}^{-3}$ , respectively. Several pollution episodes were clearly observed at the two sites, along with largely increased nitrate concentrations.

Secondary inorganic aerosol, including sulfate, nitrate, and ammonium, dominated the  $\text{PM}_{10}$  mass with an average contribution above 50%. The higher nitrate fraction (24% in



**Figure 2.** Time series of meteorological parameters, gaseous species, and submicron aerosol species in Xinxiang.

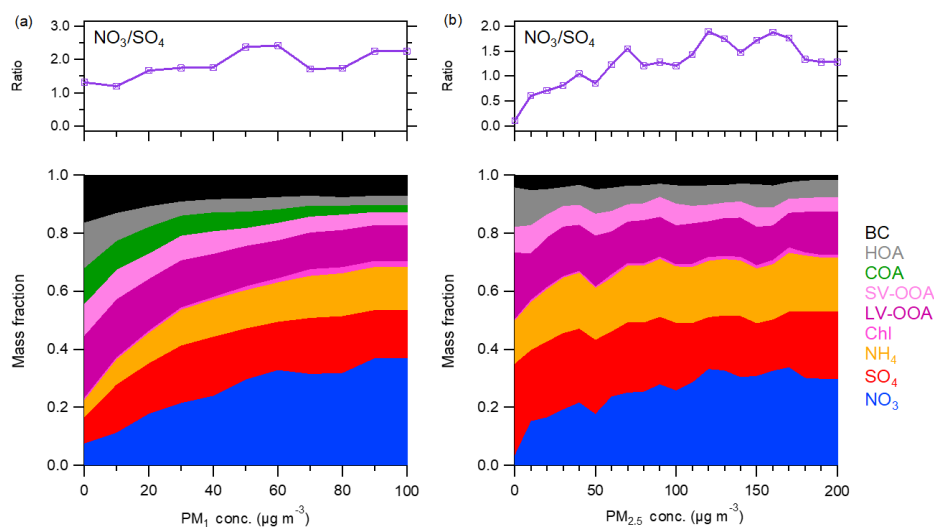
Beijing and 26 % in Xinxiang) is similar to previous observations during summer (Sun et al., 2015; Hu et al., 2016), likely due to photochemical processes being more active than in winter. The mass fraction of OA is lower than that measured during winter in the NCP region (Hu et al., 2016; H. Li et al., 2017), in accordance with the large reduction of primary emissions in summer. According to the source apportionment results, OA at both sites is largely composed of secondary factors, in which 44–52 % is LV-OOA and 22–23 % is SV-OOA (Figs. S4–S5). Primary organic aerosol accounts for only 34 and 24 % of the total OA in Beijing and Xinxiang. As there is no need for residential heating in summer, which results in lower air pollutant emissions from coal combustion, chloride accounts for a smaller fraction of approximately 1 % in total  $\text{PM}_{10}$ .

The diurnal variations of aerosol species are similar in the measurements from Beijing and Xinxiang (Fig. S9). Organics demonstrated two pronounced peaks, at noon and in the evening. Source characterization of OA suggested that the noon peak was primarily driven by cooking emissions, while the evening peak was a combination of various primary sources, i.e., traffic and cooking. Relatively flat diurnal cycles were observed for sulfate, suggesting that the daytime photochemical production of sulfate may be masked by the elevated boundary layer height after sunrise. Nitrate displayed lower concentrations in the afternoon and higher values at night.

### 3.2 Enhancement of nitrate formation during pollution episode

To effectively mitigate aerosol pollution through policy-making, the driving factors of the PM increase need to be determined. Figure 3 illustrates the mass contributions of various species in  $\text{PM}_{10}$  as a function of  $\text{PM}_{10}$  concentration in Beijing and Xinxiang. OA dominated  $\text{PM}_{10}$  at lower mass loadings (> 40 % when  $\text{PM}_{10} < 20 \mu\text{g m}^{-3}$ ), but its contribution significantly decreased with increased  $\text{PM}_{10}$  concentration. The source apportionment of OA demonstrated that the large reduction in OA fraction was primarily driven by POA, especially in Beijing. The contributions of SV-OOA and LV-OOA decreased slightly as a result of the photochemical production. The results here are largely different from our winter study in Handan, an extremely polluted city in northern China, where primary OA emissions from coal combustion and biomass burning facilitated haze formation (H. Li et al., 2017). While in Beijing the contribution of sulfate increased slightly at lower  $\text{PM}_{10}$  concentrations, the sulfate fraction generally presented a mild decrease with elevated  $\text{PM}_{10}$  mass at the two sites. By contrast, nitrate displayed an almost linearly enhanced contribution with increased  $\text{PM}_{10}$ . Accordingly, the nitrate/sulfate mass ratio steadily increased as  $\text{PM}_{10}$  went up.

Notably, the large enhancement of nitrate production mainly occurred after midnight. Figure 4 displays the scatter



**Figure 3.** Variations in the mass fraction of aerosol species and nitrate / sulfate mass ratio as a function of total  $\text{PM}_{10}$  mass loadings in (a) Beijing and (b) Xinxiang.

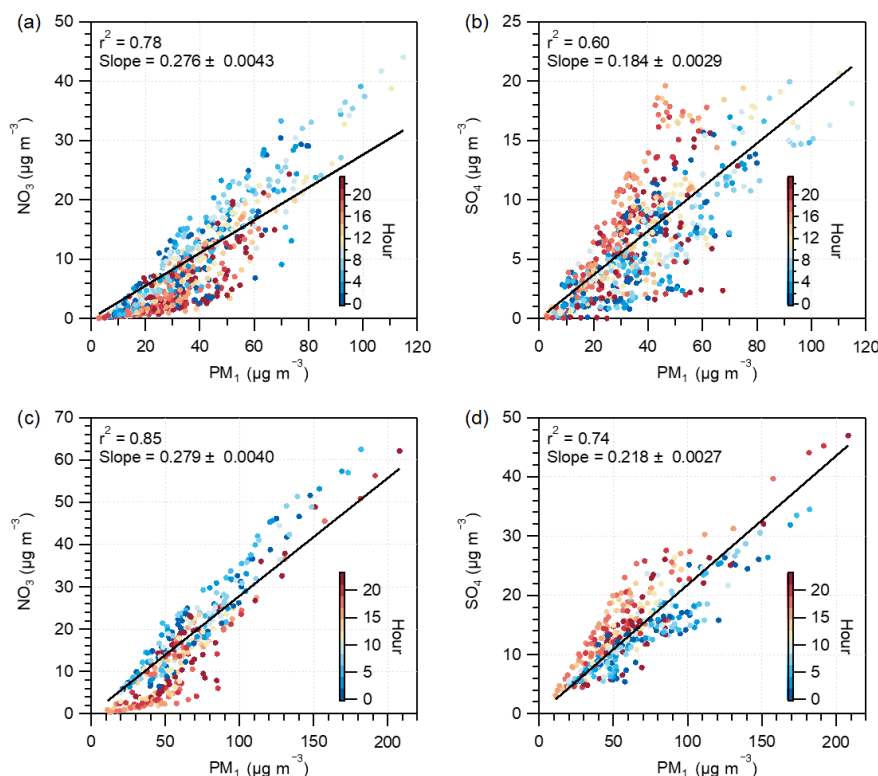
plots of nitrate versus  $\text{PM}_{10}$  and sulfate versus  $\text{PM}_{10}$  for comparison, both color coded by the time of day. Though the ratios of sulfate versus  $\text{PM}_{10}$  mostly increased in the afternoon, nitrate versus  $\text{PM}_{10}$  showed steeper slopes from midnight to early morning. The correlation of nitrate with SV-OOA and LV-OOA also indicated that the formation rate of nitrate is considerably higher than that of SV-OOA and LV-OOA after midnight (Fig. S10). Therefore, we further checked the variations in the mass fractions of aerosol species as a function of  $\text{PM}_{10}$  concentration for two periods, 00:00 to 11:00 and 12:00 to 23:00 (Beijing Standard Time). Taking Beijing as an example, both the nitrate contribution in  $\text{PM}_{10}$  and the nitrate / sulfate ratio were significantly enhanced for the period of 00:00 to 11:00 (Fig. S11). These results suggest that rapid nitrate formation is mainly associated with nighttime production, when the heterogeneous hydrolysis of  $\text{N}_2\text{O}_5$  dominates the formation pathways (Pathak et al., 2011). The observed high  $\text{N}_2\text{O}_5$  concentrations in urban Beijing further support our hypothesis (Wang et al., 2017). In addition, a recent study by Sun et al. (2018) revealed that more ammonium nitrate content can reduce mutual deliquescence relative humidity. With the enhanced formation of nitrate and higher RH during night, the heterogeneous reactions in the liquid surface of aerosols would result in more nitrate formation. Because enhanced nitrate formation in haze evolution was observed in both Beijing and Xinxiang, we regard this as the norm in this region in summer. Considering the efficient reduction in  $\text{SO}_2$  emissions in China (Zhang et al., 2012), the results here highlight the necessity of further  $\text{NO}_x$  emission control for effective air pollution reduction in northern China.

### 3.3 Factors influencing the rapid nitrate formation

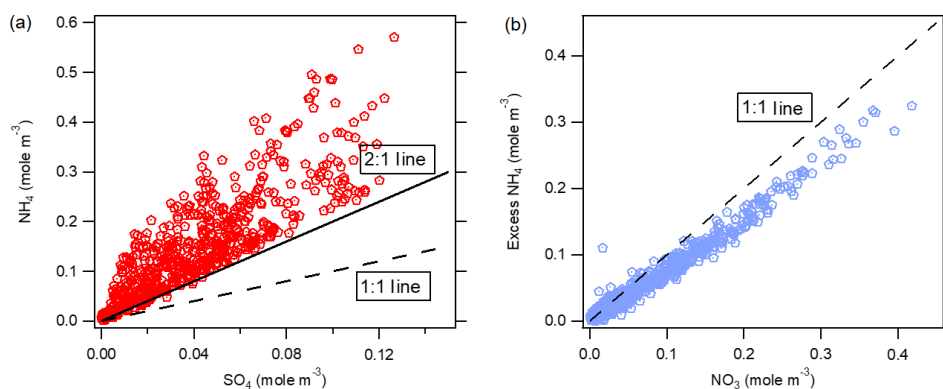
Submicron nitrate mainly exists in the form of semivolatile ammonium nitrate and is produced by the reaction of  $\text{NH}_3$  with  $\text{HNO}_3$  in the atmosphere. The formation pathways of  $\text{HNO}_3$  include the oxidation of  $\text{NO}_2$  by OH during the day and the hydrolysis of  $\text{N}_2\text{O}_5$  at night. Thus, to investigate factors influencing rapid nitrate formation in summer, the following conditions need to be considered: (1) the abundance of ammonia in the atmosphere, (2) the influence of temperature and RH, and (3) different daytime and nighttime formation mechanisms. Here, we explore nitrate formation processes based on Beijing measurements.

Under real atmospheric conditions,  $\text{NH}_3$  tends to first react with  $\text{H}_2\text{SO}_4$  to form  $(\text{NH}_4)_2\text{SO}_4$  due to its stability (Seinfeld and Pandis, 2006). Thus, if possible, each mole of sulfate will remove 2 moles of  $\text{NH}_3$  from the gas phase.  $\text{NH}_4\text{NO}_3$  is formed when excess  $\text{NH}_3$  is available. During the sampling period, the observed molar ratios of ammonium to sulfate were mostly larger than 2 (Fig. 5), corresponding to an excess of  $\text{NH}_3$ . The scatter plot of the molar concentration of excess ammonium versus the molar concentration of nitrate showed that nitrate was usually completely neutralized by excess ammonium. When ammonium is in deficit, nitrate may associate with other alkaline species or be part of an acidic aerosol (Kouimtzis and Samara, 1995).

Based on the ISORROPIA-II thermodynamic model, we performed a comprehensive sensitivity analysis of nitrate formation to the gas-phase  $\text{NH}_3$  and  $\text{PM}_{10}$  sulfate concentrations. Under typical Beijing summer conditions ( $T = 300.21 \text{ K}$ ,  $\text{RH} = 56 \%$ ), we assumed that total inorganic nitrate ( $\text{HNO}_3 + \text{NO}_3^-$ ) in the atmosphere was  $10 \mu\text{g m}^{-3}$ . Total ammonia (gas + particle) and  $\text{PM}_{10}$  sulfate concentrations were independently varied and input to the ISORROPIA-



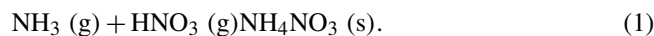
**Figure 4.** Scatter plots of nitrate vs.  $\text{PM}_{10}$  concentration and sulfate vs.  $\text{PM}_{10}$  concentration, colored by the hour of the day, in (a–b) Beijing and (c–d) Xinxiang.



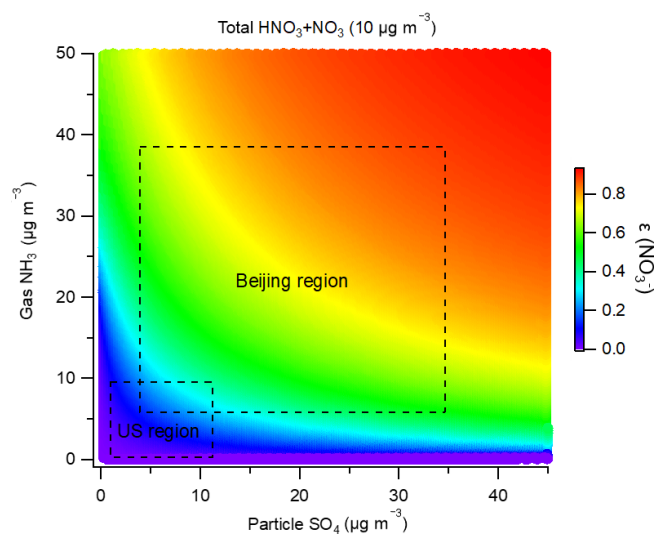
**Figure 5.** Comparison of the molar concentrations of (a) ammonium and sulfate (the 2 : 1 reference line represents complete  $\text{H}_2\text{SO}_4$  neutralization) and (b) excess ammonium and nitrate (the 1 : 1 reference line represents complete  $\text{HNO}_3$  neutralization).

II model. The predicted equilibrium of the nitrate partitioning ratio ( $\varepsilon(\text{NO}_3^-) = \text{NO}_3^- / (\text{HNO}_3 + \text{NO}_3^-)$ ) is shown in Fig. 6. At a sulfate concentration from 0.1 to  $45 \mu\text{g m}^{-3}$ , a  $10 \mu\text{g m}^{-3}$  increase in gaseous  $\text{NH}_3$  generally results in an enhancement of  $\varepsilon(\text{NO}_3^-)$  by around 0.1 units or even higher, thus increasing the particulate nitrate concentration. The variations of gaseous  $\text{NH}_3$  and  $\varepsilon(\text{NO}_3^-)$  are not linearly related. Interestingly, for ammonia-rich systems, the existence of more particulate sulfate favors the partitioning of nitrate towards the particle phase. The formation of particulate

ammonium nitrate is a reversible process with dissociation constant  $K_p$ :



$K_p$  equals the product of the partial pressures of gaseous  $\text{NH}_3$  and  $\text{HNO}_3$ . For an ammonium–sulfate–nitrate solution,  $K_p$  not only depends on temperature and RH but also on sulfate concentrations, which is usually expressed by the parameter  $Y$  (Seinfeld and Pandis, 2006):



**Figure 6.** Sensitivity of the nitrate partitioning ratio ( $\varepsilon(\text{NO}_3^-) = \text{NO}_3^- / (\text{HNO}_3 + \text{NO}_3^-)$ ) to gas-phase ammonia and  $\text{PM}_1$  sulfate concentrations based on thermodynamic predictions under typical Beijing and Xinxiang summertime conditions. The total nitrate concentration is assumed to be  $10 \mu\text{g m}^{-3}$ , according to the observed  $\text{PM}_1$  nitrate concentration.

$$Y = \frac{[\text{NH}_4\text{NO}_3]}{[\text{NH}_4\text{NO}_3] + 3[(\text{NH}_4)_2\text{SO}_4]} \quad (2)$$

When the concentration of ammonium sulfate increases compared to that of ammonium nitrate, the parameter  $Y$  decreases and the equilibrium product of  $\text{NH}_3$  and  $\text{HNO}_3$  decreases. The additional ammonium and sulfate ions make the system favorable for the heterogeneous formation of ammonium nitrate by increasing particle liquid water content but not perturbing particle pH significantly. Particle pH is not highly sensitive to sulfate and associated ammonium (Weber et al., 2016; Guo et al., 2017b). Therefore, more ammonium sulfate in the aqueous solution will tend to increase the concentration of ammonium nitrate in the particle phase. As shown in Fig. 6, at a certain concentration of gaseous  $\text{NH}_3$ , the increase in sulfate concentration results in a higher  $\varepsilon(\text{NO}_3^-)$  and more particulate nitrate. Generally, these results suggest that the decreases in  $\text{SO}_2$  emissions and  $\text{NH}_3$  emissions are effective for nitrate reduction, indicating the importance of a multipollutant control strategy in northern China.

The influence of temperature and RH on nitrate formation was also evaluated based on ISORROPIA-II simulations by varying temperature and RH separately. As shown in Fig. S12, under typical Beijing summer conditions ( $T = 30^\circ$ ),  $\varepsilon(\text{NO}_3^-)$  remains lower than 0.1, even until RH reaches 80%. When  $\text{RH} > 90\%$ ,  $\varepsilon(\text{NO}_3^-)$  increases sharply as a function of RH. For  $T = 0^\circ$ , which is representative of Beijing winter conditions,  $\varepsilon(\text{NO}_3^-)$  is as high as 0.7, even at low RH. Figure 7 demonstrates the variations in the nitrate / sulfate

ratio as a function of temperature and RH in Beijing. The nitrate / sulfate ratio increased with decreasing temperature and increasing RH, which drives the nitrate partitioning towards the particle phase. This is further supported by the variations in the equilibrium constant  $K_{\text{AN}}$  of Eq. (1), which can be calculated as follows:

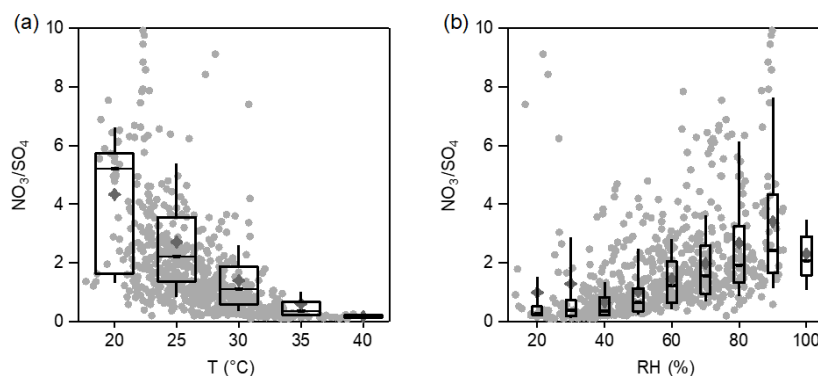
$$K_{\text{AN}} = K_{\text{AN}}(298 \text{ K}) \exp \left\{ a \left( \frac{298}{T} - 1 \right) + b \left[ 1 + \ln \left( \frac{298}{T} \right) - \frac{298}{T} \right] \right\}, \quad (3)$$

where  $T$  is the ambient temperature in Kelvin,  $K_{\text{AN}}(298) = 3.36 \times 10^{16} (\text{atm}^{-2})$ ,  $a = 75.11$ , and  $b = -13.5$  (Seinfeld and Pandis, 2006). Similarly to the nitrate / sulfate ratio, the diurnal profile of  $K_{\text{AN}}$  peaks at night due to the lower temperature and higher RH.

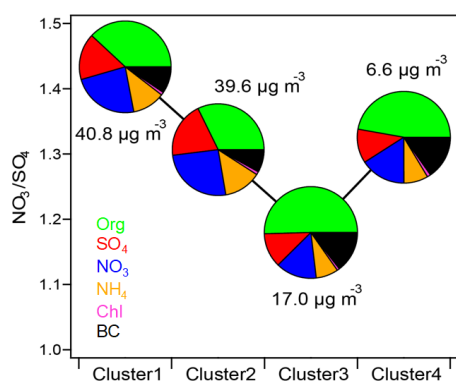
As described in Sect. 3.2, the rapid nitrate formation in this study appeared to be mainly associated with its nighttime enhancement. In addition to the effects of temperature and RH, the nighttime nitrate formation pathways may play a role. Overnight, particulate nitrate primarily forms via the heterogeneous hydrolysis of  $\text{N}_2\text{O}_5$  on the wet surface of aerosol (Ravishankara, 1997).  $\text{N}_2\text{O}_5$  is produced by the reversible reaction between  $\text{NO}_2$  and the  $\text{NO}_3$  radical, whereby  $\text{NO}_2$  reacts with  $\text{O}_3$  to form the  $\text{NO}_3$  radical. Assuming  $\text{N}_2\text{O}_5$  and the  $\text{NO}_3$  radical are both in steady state considering their short lifetimes (Brown et al., 2006), the nighttime production of  $\text{N}_2\text{O}_5$  and  $\text{HNO}_3$  is proportional to the concentration of  $\text{NO}_2$  and  $\text{O}_3$  ( $[\text{NO}_2][\text{O}_3]$ ) (Young et al., 2016; Kim et al., 2017). For the different  $\text{PM}_1$  concentration bins, we examined the  $\text{NO}_2$  and  $\text{O}_3$  data at 00:00 to assess the nighttime  $\text{HNO}_3$  production rate. It can be seen that  $[\text{NO}_2][\text{O}_3]$  was obviously enhanced, with an increase in the  $\text{PM}_1$  mass loading (Fig. S13), implying that nitrate formation by the  $\text{N}_2\text{O}_5$  pathway favors the driving role of nitrate in haze evolution.

According to the Multi-resolution Emission Inventory for China (<http://www.meicmodel.org>, last access: 22 September 2017),  $\text{NO}_x$  emissions localized in Beijing are much smaller than emissions in the adjacent Hebei, Shandong, and Henan provinces. In Fig. 1, episodes in Beijing, characterized by largely enhanced nitrate concentrations, usually occurred with a change in wind direction from north and west to south and east, where the highly polluted Hebei, Shandong, and Henan provinces are located. When the relatively clean air masses from north and west returned, aerosol pollution was instantly swept away. Therefore, the importance of regional transport for haze formation in Beijing should also be considered. We examined the association of aerosol concentration and composition with air mass origins determined through cluster analysis of HYSPLIT back trajectories. As illustrated in Fig. 8, the aerosol characteristics are quite different for air masses from different regions. Cluster 1 mainly passed through Shanxi and Hebei, and Cluster 2 originated from Hebei, Shandong, and Henan. Consistent with the high





**Figure 7.** Variations in the nitrate / sulfate mass ratio as a function of (a) temperature ( $T$ ) and (b) relative humidity (RH). The data were binned according to  $T$  and RH, and the mean (cross), median (horizontal line), 25th and 75th percentiles (lower and upper box), and 10th and 90th percentiles (lower and upper whiskers) are shown for each bin.



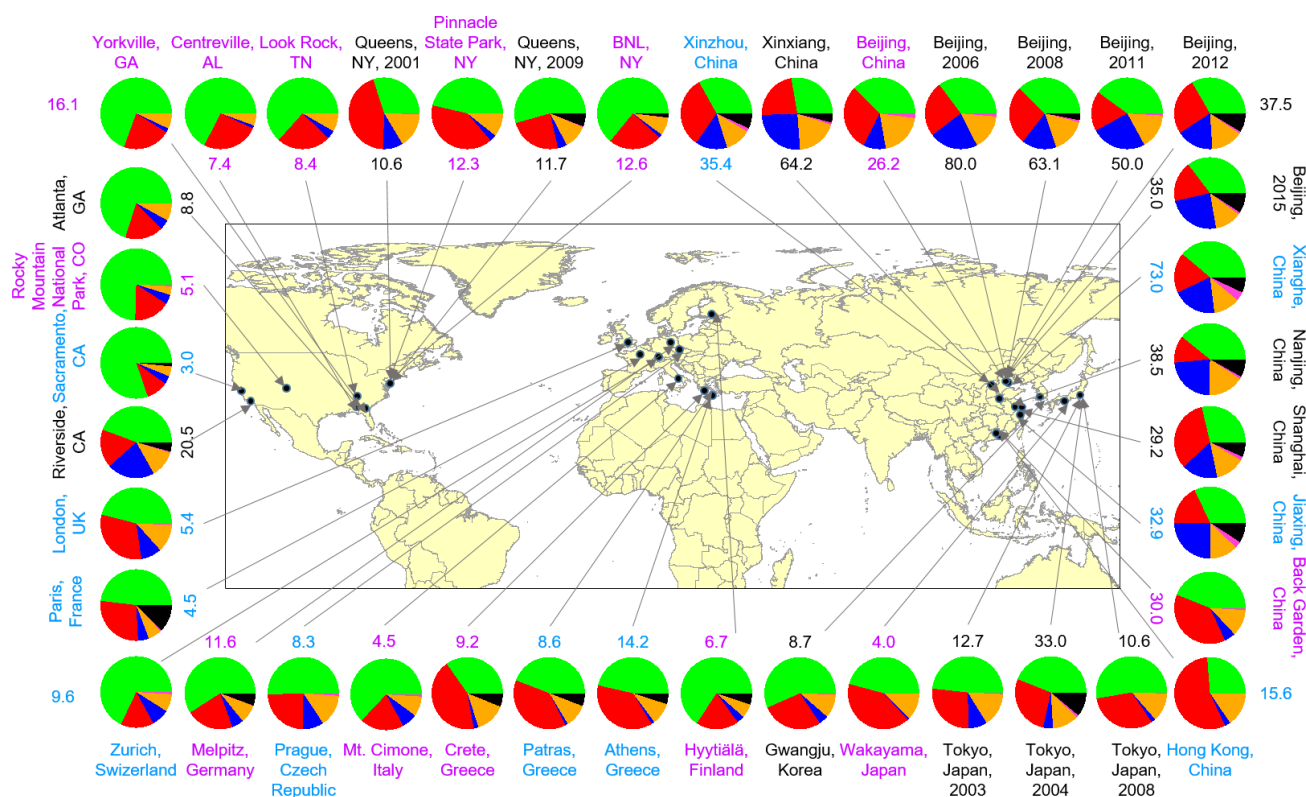
**Figure 8.** Nitrate / sulfate mass ratios for each cluster. The pie charts represent the average  $\text{PM}_{10}$  chemical composition of the different clusters. The total  $\text{PM}_{10}$  concentrations for each cluster are also shown.

air pollutant emissions in these areas, Cluster 1 and Cluster 2 were characterized with high  $\text{PM}_{10}$  concentrations and high contributions of secondary aerosols. The nitrate fraction in  $\text{PM}_{10}$  was 24 % for Cluster 1 and 26 % for Cluster 2. In comparison, Cluster 3 and Cluster 4 resulted from long-range transport from the cleaner northern areas and were correspondingly characterized by lower  $\text{PM}_{10}$  concentrations. Organics dominated  $\text{PM}_{10}$  for Cluster 3 and Cluster 4, with nitrate contributions of 14 and 16 %. Figure S14 shows the cluster distribution as a function of  $\text{PM}_{10}$  concentration. With an increase in the  $\text{PM}_{10}$  mass, the contributions of cleaner Cluster 3 and Cluster 4 significantly decreased. When  $\text{PM}_{10}$  concentrations were above  $20 \mu\text{g m}^{-3}$ , the air masses arriving in Beijing were mostly contributed by Cluster 1 and Cluster 2, which led to rapid nitrate accumulation.

### 3.4 Comparison with other regions and policy implications

Figure 9 summarizes the chemical composition of  $\text{PM}_{10}$  or NR- $\text{PM}_{10}$  (BC excluded) measured during summer in Asia, Europe, and North America. Three types of sampling locations were included: urban areas, urban downwind areas, and rural/remote areas. Aerosol particles were dominated by organics (25.5–80.4 %; avg = 48.1 %) and secondary inorganic aerosols (18.0–73.7 %; avg = 47.3 %), and the nitrate contribution largely varied among different locations. Data for the pie charts are given in Table S3.

For further comparison, we classified the data sets into three groups according to the location type and examined their differences in nitrate mass concentrations and mass contributions. Overall, the nitrate concentrations varied from 0.04 to  $17.6 \mu\text{g m}^{-3}$  in summer, with contributions of 0.9 to 25.2 %. Patterns in Fig. 10 demonstrate that the nitrate concentrations in mainland China are usually much higher than those in other areas, consistent with the severe haze pollution in China. In particular, the percentage of nitrate in aerosol particles is generally several times higher in mainland China than in other regions, except for measurements in Riverside, CA, which were conducted near the local highway (Docherty et al., 2011). Compared to rural/remote areas, nitrate shows higher mass concentrations and mass fractions in urban and urban downwind areas, revealing the influence of anthropogenic emissions, i.e., traffic and power plant, on nitrate formation. In Beijing, the capital of China, field measurements among different years show an obvious reduction in the nitrate mass concentration, especially after 2011. The large decrease in nitrate concentration in the summer of 2008 was primarily caused by the strict emission control measures implemented during the 2008 Olympic Games (Wang et al., 2010). However, nitrate contributions in China have still remained high over the years, especially in urban and urban downwind areas, revealing the importance of nitrate formation in haze episodes.



**Figure 9.** Summary of the submicron particle measurements using ACSM or Aerosol Mass Spectrometer in Asia, Europe, and North America (data given in Table S1 in the Supplement). Colors for the study labels indicate the type of sampling location: urban areas (black), urban downwind areas (blue), and rural/remote areas (pink). The pie charts show the average mass concentration and chemical composition of PM<sub>1</sub> or NR-PM<sub>1</sub>: organics (green), sulfate (red), nitrate (blue), ammonium (orange), chloride (purple), and BC (black).

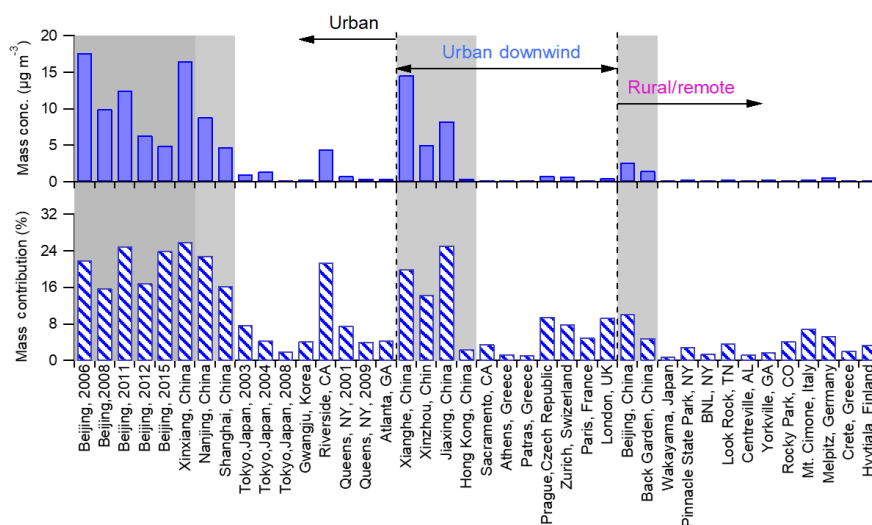
Due to the installation of flue-gas desulfurization systems, the construction of larger units and the decommissioning of small units in power plants, SO<sub>2</sub> emissions in China decreased by 45% from 2005 to 2015 (M. Li et al., 2017). However, NO<sub>x</sub> emissions in China increased during the last decade. During the 11th Five-Year Plan, NO<sub>x</sub> emissions showed a sustained and rapid growth with economic development and a lack of relevant emissions controls. Since 2011, the government carried out end-of-pipe abatement strategies by installing selective catalytic reduction in power plants and releasing strict emission regulations for vehicles. Based on the bottom-up emission inventory, NO<sub>x</sub> emissions showed a decline of 21% from 2011 to 2015 (Liu et al., 2017). The changes are consistent with satellite-observed NO<sub>2</sub> levels in China (Miyazaki et al., 2017). Given the high concentration and, in particular, the high contribution of nitrate in aerosols, further NO<sub>x</sub> reduction and initiation of NH<sub>3</sub> emission controls are urgently needed in China.

#### 4 Conclusions

Summertime field measurements were conducted in both Beijing (30 June to 27 July 2015) and Xinxiang (8 to

25 June 2017) in the NCP region, using state-of-the-art online instruments to investigate the factors driving aerosol pollution. The average PM<sub>1</sub> concentration reached 35.0 μg m<sup>-3</sup> in Beijing and 64.2 μg m<sup>-3</sup> in Xinxiang, with significantly enhanced nitrate concentrations during pollution episodes. Secondary inorganic aerosol dominated PM<sub>1</sub>, with high nitrate contributions of 24% in Beijing and 26% in Xinxiang. With the development of aerosol pollution, OA showed a decreasing contribution to total PM<sub>1</sub>, despite its obvious dominance at lower PM<sub>1</sub> mass loadings. The reduction in the OA mass fraction was primarily driven by primary sources (i.e., traffic and cooking emissions), especially in Beijing. Generally, the mass fraction of sulfate decreased slightly as a function of PM<sub>1</sub> concentration. In contrast, nitrate contribution enhanced rapidly and continuously with the elevation of PM<sub>1</sub> mass, suggesting the important role of nitrate formation in causing high aerosol pollution during summer. Rapid nitrate production mainly occurred after midnight, and the formation rate was higher for nitrate than for sulfate, SV-OOA, or LV-OOA.

Comprehensive analysis of nitrate behavior revealed that abundant ammonia emissions in the NCP region favored high rates of nitrate formation in summer. According to



**Figure 10.** Average mass concentrations and mass fractions of nitrate at various sampling sites for three types of locations: urban, urban downwind, and rural/remote areas. Within each category, the sites are ordered from left to right as Asia, North America, and Europe. The shaded area indicates the results from China.

the ISORROPIA-II thermodynamic predictions,  $\varepsilon(\text{NO}_3^-)$  is significantly increased when there is more gas-phase ammonia in the atmosphere. Decreased  $\text{SO}_2$  emissions have co-beneficial impacts on nitrate reduction. Lower temperatures and higher RH drive the equilibrium partitioning of nitrate towards the particle phase, thus increasing the particulate nitrate concentration. As an indicator to evaluate the contribution of nighttime  $\text{N}_2\text{O}_5$  hydrolysis to nitrate formation,  $[\text{NO}_2]/[\text{O}_3]$  was clearly enhanced at night with the anabatic pollution levels, suggesting an increased role of nighttime nitrate production in haze evolution. Based on cluster analysis via the HYSPLIT model, regional transport from the surrounding polluted areas was found to play a role in increasing nitrate production during haze periods.

Finally, nitrate data acquired from this study were integrated with the literature results, including various field measurements conducted in Asia, Europe, and North America. Nitrate is present in higher mass concentrations and mass fractions in China than in other regions. Due to large anthropogenic emissions in urban and urban downwind areas, the mass concentrations and mass contributions of nitrate are much higher in these regions than in remote/rural areas. Although the nitrate mass concentrations in Beijing have steadily decreased over the years, their contribution still remains high, emphasizing the significance of further reducing  $\text{NO}_x$  emissions and  $\text{NH}_3$  emissions in China.

Most of the previous studies conducted during wintertime reveal that secondary formation of sulfate together with primary emissions from coal combustion and biomass burning are important driving factors of haze evolution in the NCP region. According to this study, in Beijing and Xinxiang, rapid nitrate formation is regarded as the propulsion of aerosol pollution during summertime. Therefore, to better balance eco-

nomics development and air pollution control, different emission control measures could be established, corresponding to the specific driving forces of air pollution in different seasons. Further studies on seasonal variation are needed to test the conclusions presented here and provide more information on haze evolution in spring and fall.

**Data availability.** The observational data in this study are available from the authors upon request (qiangzhang@tsinghua.edu.cn).

**The Supplement related to this article is available online at <https://doi.org/10.5194/acp-18-5293-2018-supplement>.**

**Competing interests.** The authors declare that they have no conflict of interest.

**Special issue statement.** This article is part of the special issue “Multiphase chemistry of secondary aerosol formation under severe haze”. It does not belong to a conference.

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